

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Michele THOMAS *et al.*

Serial No.: 10/596,574

Filed: June 16, 2006

For: OPTICLE ARTICLE COVERED WITH A  
VISIBLE-ABSORBING, MULTI-LAYER  
ANTI-REFLECTIVE COATING, AND  
PRODUCTION METHOD THEREOF

Group Art Unit: 1775

Examiner: Unknown

Atty. Dkt. No.: ESSR:117US

Confirmation No.: 8007

**CERTIFICATE OF ELECTRONIC TRANSMISSION**  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being  
electronically filed with the United States Patent and  
Trademark Office via EFS-Web on the date below:

February 20, 2007

Date

Mark B. Wilson

**INFORMATION DISCLOSURE STATEMENT**

**MS AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/ESSR:117US.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Date: February 20, 2007

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|---|---|--|---------------------------|
| Form PTO-1449 (modified)  |   | Atty. Docket No.:<br>ESSR:117US            | Serial No.:<br>10/596,574 |
| List of Patents and Publications for Applicant's<br><br>INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) |   | Applicant:<br>Michele THOMAS <i>et al.</i> |                           |
|   |   | Filing Date:<br>June 16, 2006              | Group:<br>1775            |
| U.S. Patent Documents<br><i>See Page 1</i>  | Foreign Patent Documents<br><i>See Page 1</i> | Other Art<br><i>See Page 1</i>             |                           |

### U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Name                | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|----------|---------------------|-------|-----------|---------------------|
|             | A1        | 3,962,488       | 06/08/76 | Gillery             | 427   | 109       | 08/09/74            |
|             | A2        | 4,802,755       | 08/07/89 | Hensler             | 351   | 163       | 04/06/87            |
|             | A3        | 5,694,240       | 12/02/97 | Sternbergh          | 359   | 359       | 06/06/95            |
|             | A4        | 5,728,456       | 03/17/98 | Adair <i>et al.</i> | 428   | 216       | 02/01/96            |

### Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Country            | Language         |
|-------------|-----------|-----------------|----------|--------------------|------------------|
|             | B1        | EP 0834092      | 04/08/98 | Europe             | English          |
|             | B2        | EP 1437609      | 07/14/04 | Europe             | English          |
|             | B3        | JP 2000/171605  | 06/23/00 | Japan              | English abstract |
|             | B4        | RU 2200337      | 10/27/02 | Russian Federation | English abstract |
|             | B5        | WO 03/034106    | 04/24/03 | WIPO               | English abstract |
|             | B6        | WO 97/27997     | 08/07/97 | WIPO               | English          |

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|----------|
|             |           |          |

25739513.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.